



FILE NO. A31304-B-A-F 069906.0105

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 16 2002

Applicant : Mitchell et al  
Serial No. : 10/076,248 Examiner : TBD  
Filed : February 12, 2002 Group Art Unit: TBD  
For : METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME  
MEDIATED RNA *TRANS*-SPLICING

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REQUEST FOR A CORRECTED OFFICIAL FILING RECEIPT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

July 22, 2002  
Date of Deposit

Carmella L. Stephens  
Attorney Name

41,328  
PTO Registration No

Carmella L. Stephens  
Signature

July 19, 2002  
Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Office of Initial Patent Examination  
Customer Service Center

Sir:

In reviewing the Official Filing Receipt for the above-identified application, we note that it has an error in the Domestic Priority Data section. Provisional application Serial No. 60/008,317 12/07/1995 should read **60/008,317 12/15/1995**. We enclose a copy of the Official Filing Receipt which was mailed for the above-referenced patent application on March 20, 2002.

Applicants have indicated the necessary correction on the enclosed copy of the Filing Receipt in red ink and request correction of the error in all Patent and Trademark Office records. Applicants additionally request issuance of a new, corrected Filing Receipt.

Applicants believe no fee is due for this communication. The Commissioner is hereby authorized to charge payment of any fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Rochelle K. Seide

PTO Registration No. 32,300

Carmella L. Stephens

PTO Registration No. 41,328

Attorneys for Applicant

BAKER BOTTS, L.L.P.

30 Rockefeller Plaza

New York, NY 10112

(212) 408-2539



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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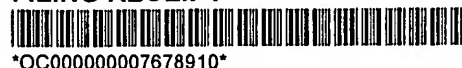
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/076,248	02/12/2002	1645	1911	31304-B-A-F	10	53	10

CONFIRMATION NO. 6317

21003  
BAKER & BOTTS  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112



## FILING RECEIPT



\*OC000000007678910\*

Date Mailed: 03/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Lloyd G. Mitchell, Durham, NC;  
Mariano A. Garcia-Blanco, Durham, NC;  
Madaiah Puttaraju, Durham, NC;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/941,492 08/29/2001  
WHICH IS A CIP OF 09/838,858 04/20/2001  
WHICH IS A CIP OF 09/756,096 01/08/2001  
WHICH IS A CIP OF 09/158,863 09/23/1998 PAT 6,280,978  
WHICH IS A CIP OF 09/133,717 08/13/1998 PAT 6,083,702  
WHICH IS A CIP OF 09/087,233 05/28/1998 ABN  
WHICH IS A CIP OF 08/766,354 12/13/1996 PAT 6,013,487  
WHICH CLAIMS BENEFIT OF 60/008,317 42/07/1995\*

(\*) Data inconsistent with PTO records.

2/12/15/1995

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## Foreign Applications

If Required, Foreign Filing License Granted 03/15/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

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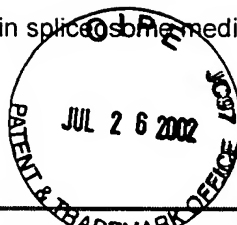
SEP 16 2002

Title

Methods and compositions for use in spliceosome mediated RNA trans-splicing

Preliminary Class

514



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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 265

Complete if Known

Application Number	10/076,248
Filing Date	July 22, 2002
First Named Inventor	Mitchell et al.
Examiner Name	To be assigned
Group Art Unit	1645
Attorney Docket No.	A31304-B-A-F 069906.0105

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

02-4377

Deposit Account Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

## 2. EXTRA CLAIM FEES

Total Claims  20 \*\* =  0 X  =  0

Independent Claims  3 \*\* =  0 X  =  0

Multiple Dependent  =

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	200
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 265

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Carmella L. Stephens	Registration No. (Attorney/Agent)	41,328	Telephone	(212) 408-2539
Signature	<i>Carmella L. Stephens</i>	Date	July 22, 2002		

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